

### FUND OBJECTIVE

The Global X CleanTech ETF (CTEC) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Global CleanTech Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

ETF Category:  
Growth - Disruptive Growth

As of 7/21/21

Index Provider: INDXX



### KEY FEATURES



High Growth  
Potential



Unconstrained  
Approach



Conscious  
Approach

### INDXX GLOBAL CLEANTECH INDEX

#### SELECTION

- The index is designed to track the performance of companies that develop technology or equipment that enables the production of energy from renewable sources, efficient utilization of energy and reduction of negative environmental influences.
- Companies are only eligible for inclusion if they generate at least 50% of their revenues from the activities listed below and will be considered as a 'Pure-Play' CleanTech company:
  - Renewable energy production
  - Residential and commercial energy efficiency
  - Smart grid implementation
  - Lithium-ion batteries and/or fuel cells
  - Prevention or amelioration of the negative environmental effects of pollution
- The maximum number of constituents is 40.

#### SELECTION POOL

- Eligible companies must have:
  - Minimum Total Market Capitalization of \$500 million if they are not current index constituents and \$400 million if they are current constituents.
  - Average Daily Turnover of at least \$2 million over the last six months if they are not current index constituents and \$1.4 million for existing constituents.
  - Listing in a Developed or Emerging Market, excluding India.
  - Traded on 90% of the eligible trading days in the last 6 months.
  - Free Float percentage of total shares outstanding of at least 10% of shares outstanding or minimum free float market capitalization of \$1 billion.

#### WEIGHTING SCHEME

- Index components are weighted according to Security-level Market Capitalization:
  - Each component is subject to maximum weight of 6% and minimum weight of 0.3%.
  - The aggregate weight of companies with weight above 5% cannot exceed 40% of the index weight. The remaining companies are capped at 4.5%.



## REBALANCING/REVIEWS

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- The index follows a semi-annual reconstitution and rebalancing schedule. Rebalances are made at the close of the third Friday of March and September each year.

*For more information on the Index, please visit Indxx's website.*

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\*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

***Carefully consider the fund's investment objectives, risks, and charges and expenses. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting [globalxetfs.com](http://globalxetfs.com). Please read the prospectus carefully before investing.***

Investing involves risk, including the possible loss of principal. The investable universe of companies in which CTEC may invest may be limited. CleanTech Companies typically face intense competition, short product lifecycles and potentially rapid product obsolescence. These companies may be significantly affected by fluctuations in energy prices and in the supply and demand of renewable energy, tax incentives, subsidies and other governmental regulations and policies. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from social, economic or political instability in other nations. CTEC is non-diversified.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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