

FUND OBJECTIVE

The Global X Guru Index ETF (GURU) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Guru Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

ETF Category:
Alpha

As of 11/29/22

Index Provider: SOLACTIVE



KEY FEATURES



Access
Ideas



Alpha
Potential



Cost
Efficiency

SOLACTIVE GURU INDEX

SELECTION POOL

- The index is designed to represent the top US stock picks from leading hedge funds.
- The index universe is comprised of all financial instruments which fulfill the below requirements:
 - The Hedge Fund Universe list is compiled from a number of sources including:
 - » Morningstar list of hedge funds
 - » Bloomberg and Bloomberg magazine as published on a regular basis
 - » Barron's Top 100 Hedge Funds as published on a regular basis
 - The Hedge Fund Pool is made up of those Hedge Funds out of the Hedge Fund Universe that fulfill the following conditions according to the most recent 13F filing:
 - » Total market value of hedge fund portfolio of at least 500 million USD
 - » Market value of top hedge fund portfolio holding accounts for at least 4.8% of total hedge fund portfolio market value
 - » Year-Over-Year Y-Y fund portfolio turnover of less than or equal to 50%. In case the market value of the top holding of a hedge fund is significantly larger than 4.8% of the total hedge fund portfolio market value, the Index Committee may decide to include a hedge fund, even if the turnover exceeds 50%

SELECTION

- The holding of each hedge fund in the Hedge Fund Pool are ranked by market value.
- Eligible companies must have:
 - Listing on a regulated stock exchange in the United States
 - Free float market capitalization of at least 100 million USD
 - Average Daily Trading Volume in the last three months of at least 10 million USD
 - Average monthly trading volume of at least 75,000 shares in each of the last six months
 - A holding is not an exchange traded product or a fund
 - Top holding that fulfills the above criteria and has a market value of at least 4.8% of the total hedge fund portfolio market value

WEIGHTING SCHEME

- Each index component is weighted equally.

REBALANCES/REVIEWS

- The index follows a quarterly rebalancing schedule. Rebalances are made on the close of 7 business days after the official 13F filing date. The official 13F filings are published 45 days after the end of each calendar quarter as defined by the SEC.



For more information on the Index, please visit Solactive's website.

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Carefully consider the fund's investment objectives, risks, and charges and expenses before investing. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. The 13F filings used to select the securities in GURU's Underlying Index are filed by each hedge fund approximately 45 days after the end of each calendar quarter. Therefore a given hedge fund may have already sold its position by the time of the 13F filing. The Fund invests in small and mid-capitalization companies, which have greater volatility and less financial resources than large-capitalization companies. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles, or from economic or political instability in other nations.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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